

**SURVEY OF SEXUAL VICTIMIZATION, 2019**
State Juvenile Systems
Summary Form

OMB No. 1121-0292; Approval Expires 09/30/2021

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU

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Kentucky Department of Juvenile Justice

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All State-operated juvenile residential placement facilities used to house juveniles and youthful offenders, regardless of age or reason for placement.

- **INCLUDE** State-operated juvenile residential facilities such as: detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps, wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.
- **EXCLUDE** privately operated facilities and facilities operated or administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)

What persons and incidents are included in this data collection?

Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2019, and December 31, 2019.

- **INCLUDE** incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.
- **EXCLUDE** incidents involving juveniles or youthful offenders not held in facilities operated by your State juvenile system.

Reporting instructions:

- Please complete the entire SSV-5 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and V: if the answer to a question is "none" or "zero," write "0" or mark the box (X) provided.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the **U.S. Census Bureau** toll-free at **1-800-253-2078** or email **greta.b.clark@census.gov**
- **Please return your completed summary and substantiated incident forms by November 30, 2020.**
- **You may complete these forms online (see enclosed instructions). Or if you prefer, you may return these forms by mail or fax.**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS

- Any person under the jurisdiction of your State's juvenile system or youthful offender authority, regardless of age or reason for placement.

FACILITIES

INCLUDE all State-operated facilities used to house juveniles or youthful offenders charged with or court-adjudicated for:

- Any offense that is illegal for both adults and juveniles;

OR

- An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE State-operated facilities used ONLY to house juveniles for:

- Non-criminal purposes (neglect, abuse, abandonment, or dependency);

OR

- Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.

1. On December 31, 2019, how many facilities operated by your State held juveniles or youthful offenders CHARGED WITH or COURT-ADJUDICATED FOR AN OFFENSE?

Number of facilities 23 ☐

- Count all juvenile residential facilities where young persons who have committed offenses may be housed overnight.
- Count each facility with a separate physical location only once. Do not count separate living/sleeping units, wings, floors, dorms, barracks, or cottages within a single facility.

2. On December 31, 2019, how many persons held in the facilities reported in Item 1 were

a. Males 301 ☐

b. Females 22 ☐

c. TOTAL (Sum of Items 2a and 2b) 323 ☐

- Count persons held in the facilities reported in Item 1 regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2019.

3. On December 31, 2019, how many persons held in the facilities reported in Item 1 were

a. Age 17 or younger 292 ☐

b. Age 18 to 20 31 ☐

c. Age 21 or older 0 ☐

d. TOTAL (Sum of Items 3a through 3c should equal Item 2c) 323 ☐

- Count all persons held in the facilities reported in Item 1 regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2019.

4. Between January 1, 2019, and December 31, 2019, how many persons were admitted to or discharged from the facilities reported in Item 1?

a. TOTAL number admitted 3419 ☐

b. TOTAL number discharged 3410 ☐

- Include all persons admitted into your State-operated juvenile residential facilities by a formal legal document, by the authority of the courts, or by some other official agency.
- Include all persons discharged from your State-operated juvenile residential facilities after a period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to other States, and deaths.
- Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities operated by your State, or temporary release including work/school release, medical appointments, other treatment facilities, or court appearances.

DEFINITIONS

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). Attempted nonconsensual sexual acts are included if recorded by the facility. For purposes of SSV, sexual abuse is disaggregated into three categories of youth-on-youth sexual victimization. These categories are:

NONCONSENSUAL SEXUAL ACTS

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;

OR

- Contact between the mouth and the penis, vulva, or anus;

OR

- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

5. Does your State juvenile system record allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS?

- * Yes → a. Do you record all reported occurrences, or only substantiated ones?

- * All
Substantiated only

- b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?

- * Both attempted and completed
Completed only

No → Please provide the definition used by your State juvenile system for youth-on-youth NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 6 and 7.

6. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS were reported?

Number reported 0 ☐ None

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

7. Of the allegations reported in Item 6, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 0 ☐ None

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated 0 ☐ None

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded 0 ☐ None

- The investigation determined that the event did NOT occur.

d. Investigation ongoing 0 ☐ None

Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 7a through 7d) 0 ☐ None

- The total should equal the number reported in Item 6.

8. Does your State juvenile system record allegations of youth-on-youth ABUSIVE SEXUAL CONTACT? (See definitions on page 3.)

* Yes → **Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?**

* Yes

No → Skip to Item 11.

No → Please provide an explanation in the space below and then skip to Item 11.

9. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth ABUSIVE SEXUAL CONTACT were reported?

Number reported 0 ☐ None

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

10. Of the allegations reported in Item 9, how many were - (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 0 ☐ None

b. Unsubstantiated 0 ☐ None

c. Unfounded 0 ☐ None

d. Investigation ongoing 0 ☐ None

e. **TOTAL** (Sum of Items 10a through 10d) 0 ☐ None

- The total should equal the number reported in Item 9.

11. Does your State juvenile system record allegations of youth-on-youth SEXUAL HARASSMENT? (See definitions on page 2.)

* Yes → **Do you record all reported allegations or only substantiated ones?**

* All

Substantiated only

No → Please provide an explanation in the space below and then skip to Section III.

12. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported?

Number reported 29 ☐ None

- If an allegation involved multiple victims or youth perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

13. Of the allegations reported in Item 12, how many were - (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 1 ☐ None

b. Unsubstantiated 23 ☐ None

c. Unfounded 5 ☐ None

d. Investigation ongoing 0 ☐ None

e. **TOTAL** (Sum of Items 13a through 13d) 29 ☐ None

- The total should equal the number reported in Item 12.

DEFINITIONS

The survey utilizes the definition of "sexual abuse" by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-youth sexual abuse. These categories are:

STAFF SEXUAL MISCONDUCT

Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friend or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

- Completed, attempted, threatened, or requested sexual acts;

OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

- Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

14. Does your State juvenile system record allegations of STAFF SEXUAL MISCONDUCT?

* Yes → Do you record all reported occurrences, or only substantiated ones?

* All

Substantiated only

No → Please provide an explanation in the space below and then skip to Item 17.

15. Between January 1, 2019, and December 31, 2019, how many allegations of STAFF SEXUAL MISCONDUCT were reported?

Number reported 3 ☐ None

- If an allegation involved multiple victimizations, count only once.

16. Of the allegations reported in Item 15, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 1 ☐ None

b. Unsubstantiated 0 ☐ None

c. Unfounded 2 ☐ None

d. Investigation ongoing 0 ☐ None

e. TOTAL (Sum of Items 16a through 16d) 3 ☐ None

- The total should equal the number reported in Item 15.

17. Does your State juvenile system record allegations of STAFF SEXUAL HARASSMENT?
(See definitions on page 5.)

* Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?

* Yes

No → Skip to Item 20.

No → Please provide an explanation in the space below and then skip to Item 20.

18. Between January 1, 2019, and December 31, 2019, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported 2 ☐ None

- If an allegation involved multiple victims or staff, count only once.

19. Of the allegations reported in Item 18, how many were - (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 0 ☐ None

b. Unsubstantiated 2 ☐ None

c. Unfounded 0 ☐ None

d. Investigation ongoing 0 ☐ None

e. TOTAL (Sum of Items 19a through 19d) 2 ☐ None

- The total should equal the number reported in Item 18.

20. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a privately operated facility?

Yes

* No

21. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a facility operated or administered by local governments?

Yes

* No

22. What is the total number of substantiated incidents reported in Items 7a, 10a, 13a, 16a, and 19a?

Total substantiated incidents 2 ☐ None

→ Please complete a Substantiated Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

**SURVEY OF SEXUAL VICTIMIZATION, 2019****Substantiated Incident Form (Juvenile)**U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU

STATE: KY

180000000050000001800

Incident Number 1 out of 2**1. On what date did the incident occur?**
(If more than one date, report the most recent.)

Month	Day	Year
08	14	2019

2. In what facility did the incident occur?

Name

McCracken Regional Juvenile Detention Center

City/Place

Paducah

3. Where did the incident occur? (Mark (X)) all that apply.)

- 01 ☐ In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
- 02 ☐ In the perpetrator's cell or room
- 03 ☐ In a dormitory or other multiple housing unit
- 04 ☐ In a common area (e.g., shower, dayroom, bathroom)
- 05 ☐ In a temporary holding cell or admissions area within the facility
- 06 ☒ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
- 07 ☐ In an instructional area (e.g., classroom, school, library, conference room)
- 08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
- 09 ☐ In a medical area (e.g., infirmary, health clinic)
- 10 ☐ In a staff area (office, break room, counselor's office)
- 11 ☐ Offsite or while in transit
- 12 ☐ Other - Specify
- 13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?

- 01 ☒ Yes
- 02 ☐ No
- 03 ☐ Don't know

5. What time did the incident occur?

(Mark (X)) all that apply.)

- 01 ☐ Morning (6 a.m. to noon)
- 02 ☒ Afternoon (noon to 6 p.m.)
- 03 ☐ Evening (6 p.m. to midnight)
- 04 ☐ Overnight (midnight to 6 a.m.)
- 05 ☐ Unknown

6. How many victims were involved in the incident?Number of victims . . . 2

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☒ Male 03 ☐ Transgender
- 02 ☐ Female 04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☐ 13-15 05 ☐ 20-24
- 03 ☒ 16-17 06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☒ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system - Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☒ Male 03 ☐ Transgender
- 02 ☐ Female 04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☐ 13-15 05 ☐ 20-24
- 03 ☒ 16-17 06 ☐ 25 or older

Burden Statement

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12. Victim #2: What was the victim's race/ethnic origin?
(Mark (X) all that apply.)

- 01 ☒ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?

- 02 ☒ No (No injury sustained)
- 01 ☐ Yes → **a. What injuries occurred?**
(Mark (X) all that apply for all victims.)
 - 01 ☐ Knife or stab wounds
 - 02 ☐ Broken bones
 - 03 ☐ Anal or vaginal tearing
 - 04 ☐ Chipped or knocked out teeth
 - 05 ☐ Internal injuries
 - 06 ☐ Knocked unconscious
 - 07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
 - 08 ☐ Other – Specify

→ **b. Did the victim(s) receive medical treatment for these injuries?**

- 01 ☐ Yes
- 02 ☒ No

14. Who reported the incident?

(Mark (X) all that apply.)

- 01 ☒ Victim
- 02 ☐ Another youth (non-victim)
- 03 ☐ Victim's family or friend
- 04 ☐ Correctional officer or front line staff
- 05 ☐ Administrative staff
- 06 ☐ Medical, healthcare, or mental health staff
- 07 ☐ Instructor, teacher, or counselor
- 08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
- 09 ☐ Chaplain or other religious official
- 10 ☐ Perpetrator
- 11 ☐ Perpetrator's family or friend
- 12 ☐ Grievance coordinator, grievance process, or ombudsperson
- 13 ☐ Attorney or legal guardian (e.g., other than family member)
- 14 ☒ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
- 15 ☐ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 ☐ Given a medical examination
- 02 ☐ Administered a rape kit
- 03 ☐ Tested for HIV/AIDS
- 04 ☐ Tested for other sexually transmitted diseases
- 05 ☐ Provided with counseling or mental health treatment
- 06 ☐ Offered but declined testing or treatment
- 07 ☐ Already released/discharged
- 08 ☒ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- 02 ☐ Placed in a medical unit, ward, or hospital
- 03 ☐ Confined to own cell or room
- 04 ☐ Given a higher custody level/different unit within the facility
- 05 ☐ Transferred to another facility
- 06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
- 07 ☒ Separated from perpetrator
- 08 ☐ Issued disciplinary report or loss of privileges
- 09 ☐ Placed in camera room, under closer surveillance, or increased supervision
- 10 ☐ Other – Specify

- 11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)

- 01 ☐ Youth-on-youth nonconsensual sexual act
→ Complete Section A, below
- 02 ☐ Youth-on-youth abusive contact
→ Complete Section A, below
- 03 ☒ Youth-on-youth sexual harassment
→ Complete Section A, below
- 04 ☐ Staff sexual misconduct
→ Complete Section B on pages 4–5
- 05 ☐ Staff sexual harassment
→ Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ **If the perpetrator was a staff member, go to Section B on pages 4–5.**

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . . 1

→ **If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.**

19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☒ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18–19
02 ☒ 13–15 05 ☐ 20–24
03 ☐ 16–17 06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☒ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18–19
02 ☐ 13–15 05 ☐ 20–24
03 ☐ 16–17 06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark (X)) all that apply.)

- 02 ☒ Sexual harassment
03 ☐ Indecent exposure, masturbation, or voyeurism
04 ☐ Horseplay
05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 ☐ Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X)) all that apply for all perpetrators.)

- 01 ☒ Sexual harassment, sexual innuendo, or verbal comments
02 ☐ Persuasion or talked into sexual activity
03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 ☐ Bribery or blackmail
05 ☐ Gave victim drugs or alcohol
06 ☐ Offered protection from other youth
07 ☐ Threatened with physical harm
08 ☐ Physically held victim down or restrained in some way
09 ☐ Physically harmed or injured
10 ☐ Threatened with a weapon
11 ☐ Other – Specify

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark (X)) all that apply for all perpetrators.)

- 01 ☐ Placed in solitary confinement or disciplinary segregation
02 ☐ Confined to own cell or room
03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
04 ☒ Transferred to other unit/cell or separated from victim
05 ☐ Transferred to another facility
06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
07 ☐ Given extra work
08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 ☐ Sent to counseling or treatment team
10 ☐ Arrested or referred to law enforcement agency
11 ☐ Referred for prosecution or indicted
12 ☐ Convicted, given new sentence, or fined
13 ☐ Other – Specify

Section B – STAFF-ON-YOUTH SEXUAL ABUSE

⑩ If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident?

(Mark (X) all that apply.)

- 01 ☐ Physical force resulting in a nonconsensual sexual act
- 02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
- 03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- 04 ☐ Unwanted touching for sexual gratification
- 05 ☐ Sexual harassment or repeated verbal statements of a sexual nature by staff
- 06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to youth
- 07 ☐ Sexual relationship between youth and staff that appeared to be willing
- 08 ☐ Other – Specify
- 09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . .

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

- 01 ☐ Male 02 ☐ Female

31. Staff #1: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger 05 ☐ 40-44
- 02 ☐ 25-29 06 ☐ 45-54
- 03 ☐ 30-34 07 ☐ 55 or older
- 04 ☐ 35-39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?

(Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?

- 01 ☐ Male 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger 05 ☐ 40-44
- 02 ☐ 25-29 06 ☐ 45-54
- 03 ☐ 30-34 07 ☐ 55 or older
- 04 ☐ 35-39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

(Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

(Mark (X) all that apply for all staff involved.)

- 01 ☐ Full or part time paid employee
- 02 ☐ Contract employee or vendor
- 03 ☐ Volunteer or intern
- 04 ☐ Other – Specify

37. What was the primary position description of the staff involved in the incident?

(Mark (X) all that apply for all staff involved.)

- 01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
- 02 ☐ Correctional officer or supervisory staff
- 03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
- 04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- 05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- 06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
- 07 ☐ Other program staff
- 08 ☐ Volunteers or Interns
- 09 ☐ Other staff – Specify

38. What sanction was imposed on the staff?

(Mark (X) all that apply for all staff involved.)

- 01 ☐ Sent to training or counseling
- 02 ☐ Reprimanded or disciplined
- 03 ☐ Demoted, diminished responsibilities, or suspended temporarily
- 04 ☐ Transferred to another facility or unit
- 05 ☐ Arrested or referred to law enforcement agency
- 06 ☐ Referred for prosecution or indicted
- 07 ☐ Convicted, plead guilty, sentenced, or fined
- 08 ☐ Discharged, terminated, or contract not renewed
- 09 ☐ Staff resigned (prior to completion of investigation)
- 10 ☐ Staff resigned (after investigation was completed)
- 11 ☐ Other – Specify

- 12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility?

(Mark (X) all that apply for all staff involved.)

- 01 ☐ Less than 6 months
- 02 ☐ 6 months to 1 year
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NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

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EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

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OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

**SURVEY OF SEXUAL VICTIMIZATION, 2019****Substantiated Incident Form (Juvenile)**U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU

STATE: KY

180000000050000001800

Incident Number 2 out of 2**1. On what date did the incident occur?**

(If more than one date, report the most recent.)

Month Day Year

02 27 2019**2. In what facility did the incident occur?**

Name

Adair Youth Development Center

City/Place

Columbia

3. Where did the incident occur? (Mark (X) all that apply.)

- 01 ☒ In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
- 02 ☐ In the perpetrator's cell or room
- 03 ☐ In a dormitory or other multiple housing unit
- 04 ☐ In a common area (e.g., shower, dayroom, bathroom)
- 05 ☐ In a temporary holding cell or admissions area within the facility
- 06 ☐ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
- 07 ☐ In an instructional area (e.g., classroom, school, library, conference room)
- 08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
- 09 ☐ In a medical area (e.g., infirmary, health clinic)
- 10 ☐ In a staff area (office, break room, counselor's office)
- 11 ☐ Offsite or while in transit
- 12 ☐ Other - Specify
- 13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?

- 01 ☒ Yes
- 02 ☐ No
- 03 ☐ Don't know

5. What time did the incident occur?

(Mark (X) all that apply.)

- 01 ☐ Morning (6 a.m. to noon)
- 02 ☐ Afternoon (noon to 6 p.m.)
- 03 ☐ Evening (6 p.m. to midnight)
- 04 ☐ Overnight (midnight to 6 a.m.)
- 05 ☒ Unknown

6. How many victims were involved in the incident?Number of victims . . . 1

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☒ Male 03 ☐ Transgender
- 02 ☐ Female 04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☐ 13-15 05 ☐ 20-24
- 03 ☒ 16-17 06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☒ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system - Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
- 02 ☐ Female 04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☐ 13-15 05 ☐ 20-24
- 03 ☐ 16-17 06 ☐ 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

12. Victim #2: What was the victim's race/ethnic origin?
(Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?

- 02 ☒ No (No injury sustained)
- 01 ☐ Yes → **a. What injuries occurred?**
(Mark (X)) all that apply for all victims.)
 - 01 ☐ Knife or stab wounds
 - 02 ☐ Broken bones
 - 03 ☐ Anal or vaginal tearing
 - 04 ☐ Chipped or knocked out teeth
 - 05 ☐ Internal injuries
 - 06 ☐ Knocked unconscious
 - 07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
 - 08 ☐ Other – Specify

→ **b. Did the victim(s) receive medical treatment for these injuries?**

- 01 ☐ Yes
- 02 ☐ No

14. Who reported the incident?

- (Mark (X)) all that apply.)
- 01 ☐ Victim
 - 02 ☐ Another youth (non-victim)
 - 03 ☐ Victim's family or friend
 - 04 ☒ Correctional officer or front line staff
 - 05 ☐ Administrative staff
 - 06 ☐ Medical, healthcare, or mental health staff
 - 07 ☐ Instructor, teacher, or counselor
 - 08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
 - 09 ☐ Chaplain or other religious official
 - 10 ☐ Perpetrator
 - 11 ☐ Perpetrator's family or friend
 - 12 ☐ Grievance coordinator, grievance process, or ombudsperson
 - 13 ☐ Attorney or legal guardian (e.g., other than family member)
 - 14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
 - 15 ☐ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X)) all that apply for all victims.)

- 01 ☐ Given a medical examination
- 02 ☐ Administered a rape kit
- 03 ☐ Tested for HIV/AIDS
- 04 ☐ Tested for other sexually transmitted diseases
- 05 ☐ Provided with counseling or mental health treatment
- 06 ☐ Offered but declined testing or treatment
- 07 ☒ Already released/discharged
- 08 ☐ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X)) all that apply for all victims.)

- 01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
- 02 ☐ Placed in a medical unit, ward, or hospital
- 03 ☐ Confined to own cell or room
- 04 ☐ Given a higher custody level/different unit within the facility
- 05 ☐ Transferred to another facility
- 06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
- 07 ☐ Separated from perpetrator
- 08 ☐ Issued disciplinary report or loss of privileges
- 09 ☐ Placed in camera room, under closer surveillance, or increased supervision
- 10 ☒ Other – Specify

Youth turned 18 yrs. transferred to another facility

- 11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)

- 01 ☐ Youth-on-youth nonconsensual sexual act
→ Complete Section A, below
- 02 ☐ Youth-on-youth abusive contact
→ Complete Section A, below
- 03 ☐ Youth-on-youth sexual harassment
→ Complete Section A, below
- 04 ☒ Staff sexual misconduct
→ Complete Section B on pages 4-5
- 05 ☐ Staff sexual harassment
→ Complete Section B on pages 4-5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4-5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.

19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18–19
02 ☐ 13–15 05 ☐ 20–24
03 ☐ 16–17 06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18–19
02 ☐ 13–15 05 ☐ 20–24
03 ☐ 16–17 06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark (X)) all that apply.)

- 02 ☐ Sexual harassment
03 ☐ Indecent exposure, masturbation, or voyeurism
04 ☐ Horseplay
05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 ☐ Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X)) all that apply for all perpetrators.)

- 01 ☐ Sexual harassment, sexual innuendo, or verbal comments
02 ☐ Persuasion or talked into sexual activity
03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 ☐ Bribery or blackmail
05 ☐ Gave victim drugs or alcohol
06 ☐ Offered protection from other youth
07 ☐ Threatened with physical harm
08 ☐ Physically held victim down or restrained in some way
09 ☐ Physically harmed or injured
10 ☐ Threatened with a weapon
11 ☐ Other – Specify

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark (X)) all that apply for all perpetrators.)

- 01 ☐ Placed in solitary confinement or disciplinary segregation
02 ☐ Confined to own cell or room
03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
04 ☐ Transferred to other unit/cell or separated from victim
05 ☐ Transferred to another facility
06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
07 ☐ Given extra work
08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 ☐ Sent to counseling or treatment team
10 ☐ Arrested or referred to law enforcement agency
11 ☐ Referred for prosecution or indicted
12 ☐ Convicted, given new sentence, or fined
13 ☐ Other – Specify

Section B - STAFF-ON-YOUTH SEXUAL ABUSE

③ If the perpetrator was a youth, go to Section A on pages 2-3.

28. What was the nature of the incident?

(Mark (X) all that apply.)

- 01 ☐ Physical force resulting in a nonconsensual sexual act
- 02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
- 03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- 04 ☐ Unwanted touching for sexual gratification
- 05 ☐ Sexual harassment or repeated verbal statements of a sexual nature by staff
- 06 ☒ Wrote letters, showed pictures, or offered gifts or special privileges to youth
- 07 ☒ Sexual relationship between youth and staff that appeared to be willing
- 08 ☐ Other - Specify
- 09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . . 1

→ **If more than two staff were involved, report their characteristics in Notes on page 5.**

30. Staff #1: What was the gender of the staff?

- 01 ☐ Male 02 ☒ Female

31. Staff #1: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger 05 ☐ 40-44
- 02 ☐ 25-29 06 ☐ 45-54
- 03 ☒ 30-34 07 ☐ 55 or older
- 04 ☐ 35-39

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?

(Mark (X) all that apply.)

- 01 ☒ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system - Specify

33. Staff #2: What was the gender of the staff?

- 01 ☐ Male 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger 05 ☐ 40-44
- 02 ☐ 25-29 06 ☐ 45-54
- 03 ☐ 30-34 07 ☐ 55 or older
- 04 ☐ 35-39

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?

(Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
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36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?

(Mark (X) all that apply for all staff involved.)

- 01 ☒ Full or part time paid employee
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- 07 ☐ Convicted, plead guilty, sentenced, or fined
- 08 ☐ Discharged, terminated, or contract not renewed
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- 10 ☐ Staff resigned (after investigation was completed)
- 11 ☒ Other – Specify Referred to law enforcement and arrested

- 12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility?

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